**WWF Environmental & Social Safeguards screening tool for Individual Projects**

**Adapted for GEF/GCF Projects Implemented by WWF**

(Version 2.0, October 2020)

**INSTRUCTIONS**

**What are the objectives of the Environmental and Social Safeguards Screening Tool?**

* to identify potential as well as immediate environmental and social negative impacts associated with the proposed GEF/GCF Project
* to determine the application of [WWF’s environmental and social standards](https://sites.google.com/wwfus.org/safeguardstaskforce/?pli=1&authuser=0)
* to determine the proposed GEF/GCF project’s risk category (low, medium, high) and necessary next safeguards steps
* to inform subsequent environmental and social assessments and management plans in the landscape or seascape

**What are the steps in the Screening Process and who is responsible for it?**

The **GEF/GCF Project Team is responsible for completing the ESS Screen** in collaboration with consultants, field staff and other relevant colleagues (this task may be delegated to a consultant if appropriate). The following key elements should be considered:

* Use relevant internal and external documents to fill out the Tool. These include for example; context and stakeholder analyses, gender analyses, conflict sensitivity assessments, socio-economic baseline assessments, impact assessments, stakeholder engagement plan(s), etc.
* Screening should not only be a desktop or a one-day exercise! It should start discussions on environmental and social negative impacts as well as opportunities to maximize positive impacts within GEF/GCF project’s given priorities and objectives. Ideally, conversations with staff, partners, stakeholders and peer organizations in the project area(s) should inform the process. However, this does not imply that the organization of formal workshops is required.
* Please be as forthcoming as possible when filling in this Tool. If there is a question you can’t answer, explain this clearly. The screening should help us identify knowledge gaps, so that they can be addressed. Gaps are not a failure; they simply help us to identify next steps in the safeguards process.

This screening is a prerequisite for and should be completed prior to the categorization mission by the Safeguards Specialist in WWF GEF/GCF Agency. **The Safeguards Specialist will verify the information, fill in any missing information, and categorize the project**. In the event that the Safeguards Specialist cannot complete a categorization mission (e.g. during COVID-19), they will sign off on the screening and categorize the project through iterative conversations with the Project Team. Based on the ESS Screening, the Safeguards Specialist will then issue the Categorization Memo which will detail the required safeguards management plans that should be developed during project proposal development.

**PART I: General Project Information** (To be filled in by WWF GEF/GCF Agencies)

*Please fill in the table with the general project information below. Guidance is provided in blue.*

|  |  |
| --- | --- |
| Project name: |  |
| Location of the project: | *Please indicate the country/countries and relevant geographical area within. If possible, please include map(s) of the project area below this box for Part I* |
| GEF/GCF Project ID |  |
| Agency Project ID |  |
| Executing Entities/Agencies: | *Please indicate name(s)* |
| Project Partners: | *Please indicate name(s)* |
| Project duration: |  |
| Total project budget: | *Please also indicate currency* |
| Persons involved in filling in this screening tool: | *Please indicate name(s) and office(s)* |
| Project Objective and Activities | |
| *Please state the Project objective and list the Project Outcomes, Outputs and Activities (can be copied and pasted from GEF PIF Table B, GCF Concept Note, or other document)* | |

**PART II: Excluded and Low Risk Activities**

*Please answer the questions in following two tables reflecting on the Project Objective and Activities section above.*

**Table 1: Excluded Activities**

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| **Excluded Activities** | *Yes/No/*  *Not sure* | *If the answer is “yes” or “not sure”, please specify:* |
| Are any proposed activities in this project part of the excluded activities list:   * Procurement/use of weapons and munitions * Military activities * Activities involving forms of forced labour/child labour * Procurement/use of formulated products in the [WHO Classes IA, IB or II](https://www.who.int/publications/i/item/the-who-recommended-classification-of-pesticides-by-hazard-2019-edition) * Procurement/use of pesticides & other chemicals specified as [persistent organic pollutants under the Stockholm Convention](http://www.pops.int/TheConvention/ThePOPs/tabid/673/Default.aspx) * Conversion or degradation of critical natural habitats * Introduction of species known to be invasive into the new environment * Involuntary Resettlement |  |  |

**If the answer to Table 1 is yes, please contact the Safeguards Specialist in the WWF GEF/GCF Agency to discuss. The project will need to be redesigned to ensure that it does not fund any of the activities listed in the table above.**

**Table 2: Low Risk Activities**

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| **Low Risk Activities** | *Yes/No/*  *Not sure* | *If the answer is “yes”, please explain the nature of the project:* |
| Below is a list of activities considered to be at low risk for environmental and social impacts. Do all project activities fall solely within this list?:   * Policy reform * Natural resource assessments and monitoring * Monitoring and evaluation exercises * Desk studies, workshops, meetings * Scientific research and field surveys * Research and extension in agriculture, forestry, fisheries and natural resource management * Remote sensing and geospatial analysis * Capacity development, communication and outreach programs, including training and technical assistance * Investment readiness support |  |  |

**If the answer to Table 2 is yes, STOP HERE. You may not need to fill out the rest of this document. Email this document to the Safeguards Specialist in the WWF GEF/GCF Agency and they will determine if you need to continue filling out the rest of the screening.**

**Part III: Context of the Project Area**

*Please describe the broader context of the project area by answering the following questions. You can copy information from the ProDoc, PIF, Concept Note, or other relevant documents.* ***If you are not sure about your answer or don’t have the necessary information because these issues have not been studied in detail, please state this clearly.***

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| **Environmental Context** | |
| ***If you are not sure about your answer, don’t have the necessary information, or the question is not relevant to the project area, please state this clearly.*** | |
| 1. What is the environmental context of the project areas? What are the land use patterns and key environmental issues? | *Please describe in brief detail (what is the ecosystem, what are the main threats to the environment, how much of the land is used for commercial agriculture vs. pristine forest, etc.)* |
| 1. Who are the key actors that are formally or informally involved in decision-making on natural resources and management? | *Structure your answer according to those natural resources / areas we aim to protect and consider (please write a couple of sentences detailed the actors’ name, their roles in the project area, etc.) e.g.*   * *The role of government agencies, elites, diaspora, local communities, etc.* * *Who is excluded from decision-making?* |
| **Socio-Cultural Context** | |
| ***If you are not sure about your answer, don’t have the necessary information, or the question is not relevant to the project area, please state this clearly.*** | |
| 1. How many people live in the project area? | *Please state an approximate number of people in the project area (intervention sites) and how they spread across towns, villages, etc.*  *Does the project focus on a specific geographic area within the project area?* |
| 1. What are the existing ethnic, tribal, cultural, religious, linguistic or other divisions in the project area? | *Please explain in more detail (E.g. the majority of the population is A but there are groups of B and C as well).* |
| 1. Are there [Indigenous Peoples](https://wwf.panda.org/our_work/people/people_and_conservation/wwf_social_policies/indigenous_peoples/) (as defined by WWF) who inhabit or customarily use/own any areas or resources within the project area? | *If yes, please describe these groups, including:*   * *Number of indigenous people and communities living in the project area* * *Socio-cultural characteristics (e.g. specific traditions, practices, culture, how they* *use/ if they own any areas or resources)* * *Whether the groups and their rights are recognized by the State. Describe if there are any national laws or regulations affecting indigenous peoples’ rights positively or negatively. Since when are these in place?* |
| 1. Beyond Indigenous Peoples, do any other communities or groups in this project area have strong ties or customary relationships to lands, territories or resources? | *Consider e.g. peasant communities with specific rights, in Latin America Afrodescendants, etc.*  *Please describe these communities/groups, including:*   * *Number of people and communities living in the project area* * *Socio-cultural characteristics of these communities (e.g. specific traditions, practices, culture, how they* *use/ if they own any areas or resources)* * *Whether they have specific rights according to national legal frameworks* |
| 1. Are any communities or groups (described above or other) in the project area economically or socially particularly vulnerable, disadvantaged or discriminated against (e.g., internally displaced peoples, refugees, migrants, other vulnerable groups, etc.)? | *Please differentiate by groups and consider e.g.*   * *Do all groups and individuals enjoy full inclusion and participation in society and in economic life?* * *Is equal access to opportunities granted?* * *Are there any differences between men and women?* * *Are there differences between ethnic groups?* |
| 1. Is there any form of organized representation and/or decision making of IPLCs (mentioned in questions 3-5) in the project area? | *Please describe:*   * *any CSOs (working on human or IP rights),* * *producer/user groups (fisher, agricultural),* * *cooperatives or unions* * *other organisations that represent the interests of stakeholders who should be engaged as part of the activities.* * *village council, council of elders, etc.* * *any other traditional decision-making institutions that are commonly relied upon to make decisions, communicate with outsiders and settle disputes* |
| [**Socio-Economic Context**](https://wwf.panda.org/our_work/people/people_and_conservation/wwf_social_policies/poverty_and_conservation/) | |
| ***If you are not sure about your answer, don’t have the necessary information, or the question is not relevant to the project area, please state this clearly.*** | |
| 1. What are the main medium to large-scale commercial activities in the project area? | *Consider e.g. extractive companies, industrial agriculture, logging companies, commercial fishing, commercial tourism, etc.*  *Please describe the activities and provide information on main stakeholder(s) driving them (e.g. concessionaires, international corporations, state-owned companies, etc.)* |
| 1. What are the main small to subsistence scale economic and livelihood activities in the project area? Where information is available | *Consider e.g. agriculture, hunting, fishing, artisanal mining, charcoal, small-scale tourism operations, etc.*  *Please describe the activities and distinguish to the extent possible between*   * *different groups, as identified in the section socio-cultural context (i.e. indigenous people, other groups with strong ties to lands, other important groups such as marginalized communities or refugees)* * *men and women* * *subsistence and commercial use* |
| **Political and Legal Context** | |
| ***If you are not sure about your answer, don’t have the necessary information, or the question is not relevant to the project area, please state this clearly.*** | |
| 1. What is the current state of governance in the project area? | *Consider governance structures and institutions at the regional or national level, which could influence governance at the project area level.*  *Please describe e.g.*   * *Weaknesses in terms of rule of law* * *State presence in the project area* * *Security sector’s role* * *Systematic human rights violations* * *Access to justice and political participation* * *Restrictions of the civic space* |
| 1. Tenure arrangements: please describe, to the extent possible, the prevalent property rights/tenure regime within the project area. | *Please describe and consider e.g.*   * *Does the government generally recognise customary rights? If so, is this recognised in the law or only informally/in practice?* * *Does the majority of the population have statutory or customary ownership of the land on which they live?* * *Are customary rights primarily individual (held by individuals or households) or collective (held by the village or community)?* * *Are customary access and use rights (including for agriculture, hunting, fishing and non-timber forest products) officially recognised by the government?* * *Are there any differences regarding recognition of rights across ethnic groups or for Indigenous Peoples?* * *Are there any differences between men and women?* |
| 1. Are there any major factors from outside the project area that have an impact on it? | *Please describe and consider e.g.*   * *Political instability* * *Criminal networks (linked e.g. to drug trafficking, forest crime, poaching)* * *Transnational trade (including goods in general, but also commercial bushmeat)* * *Terrorism/other violent activities surrounding the project area* * *etc.* |

**Maps of the Project Area (optional)**

***Please include here maps of the project area****,* ***that indicate to the extent possible e.g.***

* *areas occupied by local communities, Indigenous Peoples or other groups*
* *customary/traditional resource use areas, including hunting and/or fishing areas*
* *any nearby concessions, such as forestry or extractive industries*

**PART IV:Cross-Cutting Principles**

*Please identify potential* ***impacts related to cross-cutting principles****. Note that the purpose of the screening is to identify potential negative impacts that could arise as a consequence of successful implementation of a project as originally conceived. This means,* ***impacts that would arise if no mitigation measures were in place****.*

*Please fill in only the white cells.*

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| [**Human Rights**](https://wwf.panda.org/our_work/people/people_and_conservation/wwf_social_policies/human_rights_framework/) | | |
|  | *Yes, no, n/a, TBD* | *Explain your answer in more detail, including any specific potential impacts or issues that you have identified. If you think there are no potential impacts or issues, please also explain why you think that.* |
| 1. Are there any existing human rights issues in the project area? If yes, describe the issues and how they might affect the project. |  |  |
| 1. Could the project activities negatively affect the standards of living or economic situation particularly for marginalized and vulnerable individuals and groups? |  | *Think about e.g.*   * *possibility of inequitable outcomes or perpetuating existing discrimination* * *issues of elite capture (i.e. resources and outcomes are biased for the benefit of a few individuals of superior social status only)* * *whether planned compensations reach the right people, including the most marginalised and vulnerable, etc.* |
| 1. Is it possible that affected rights-holders, particularly the most marginalised and vulnerable, might not have access to information about the project or could not fully participate in project-related decisions affecting them? |  | *Think about e.g.*   * *if information is delivered in appropriate language and forms* * *who delivers the information* * *literacy levels and potential use of difficult terminology* * *access to communication means in remote communities* * *location where information is shared when delivered in person* * *barriers to participation, including physical barriers to reach location, travel times and means of travel, resources, time required, other commitments and household tasks, lack of confidence to voice one’s opinion, social norms* * *difference between attendance and meaningful participation* |
| 1. Is there a risk that local people and communities affected by the project are unaware of their rights and/or lack the capacity to claim them? |  | *Think about situations where*   * *people don’t know their rights* * *people are not confident or empowered to claim their rights or they lack the necessary skills or means* * *people aren’t familiar with the processes to claim their rights or don’t have access to them* |
| 1. Is there a risk that duty-bearers involved in the project do not have the capacity to meet their obligations? |  | *Think about duty-bearers such as*   * *local or national government, their agencies and representatives* * *community and CSO leaders and representatives* * *companies*   *Think about reasons such as lack of skilled personnel, lack of awareness, financial resources or equipment, size of the project area. Consider not only individuals but also the system level of duty bearers.* |
| 1. Could the project activities lead to forced labour or employment that may fail to comply with [fundamental ILO principles and rights at work](https://www.ilo.org/declaration/lang--en/index.htm)? |  | *Consider forced labour e.g. in infrastructure development (even small scale), agriculture like cocoa or coffee farming, fisheries sector, etc.*  *Consider principles such as freedom of association, right to collective bargaining, elimination of discrimination in respect of employment and occupation. occupational health and safety.*  *Furthermore, think of marginalized groups or individuals who may be more vulnerable to be forced into labour.* |
| 1. Have opportunities been identified within the project to promote human rights, good governance, social and/or environmental justice in this project? |  | *Please describe relevant activities, e.g.*   * *Building the capacities of duty-bearers* * *Supporting the improvement of legal, policy and institutional frameworks* * *Facilitating the participation of rights-holders (especially the most vulnerable) in decision-making processes, e.g. by building capacities or creating platforms* |

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| [**Gender Equality and Women’s Rights**](https://wwf.panda.org/our_work/people/people_and_conservation/wwf_social_policies/gender/) | | |
|  | *Yes, no, n/a, TBD* | *Explain your answer in more detail, including any specific potential impacts or issues that you have identified. If you think there are no potential impacts or issues, please also explain why you think that.* |
| * + - 1. Are there any existing gender equality, women’s rights, or gender-based violence (including sexual exploitation, abuse and harassment) issues present in the project area? If yes, describe the issues and how they might affect the project. |  |  |
| * + - 1. Could the project negatively impact the situation of women/girls or other groups based on gender? |  | *Think about e.g.*   * *the different roles and activities of women, men, girls and boys, and how they use or protect resources* * *who takes decisions in the household and community* * *access to land and resources for different gender groups* * *unintended negative impacts on different gender groups* |
| * + - 1. Could the project potentially contribute to increased gender-based violence (including sexual exploitation, abuse, and harassment)? |  | *Consider e.g.*   * *behaviour of project staff* * *behaviour of enforcement staff (incl. forest guards)* * *impact of changing lifestyles in communities, increased substance abuse, etc.* * *changes in household power dynamics resulting from the activities* |
| ***If you answered “yes” to the above question, please also answer the questions below. If you answered no, please proceed to Question 4.*** | | |
| * + - 1. Is there a risk that the project could pose a greater burden on women by restricting the use, development and protection of natural resources by women compared with that of men? |  |  |
| * + - 1. Is there a risk that persons employed by or engaged directly in the project might engage in gender-based violence (including sexual exploitation, sexual abuse, or sexual harassment)? |  |  |
| * + - 1. Does the project increase the risk of GBV and/or SEAH for women and girls, for example by changing resource use practices? |  |  |
| * + - 1. Does any mandated training for any individuals associated with the project (including project staff, government park rangers and guards, other park staff, consultants, partner organizations and contractors) cover GBV/SEAH (along with human rights etc.)? |  |  |
|  | | |
| * + - 1. Have opportunities been identified and considered within the project to promote gender equality in the project? |  | *Think about e.g.*   * *design, implementation, monitoring and evaluation of activities and outcomes* * *whether a gender analysis has been done to inform the above* * *work plans and budgets* * *access to opportunities and benefits* * *equal participation in decision-making and activities* * *strategies or actions to promote gender equality*   *gender training for project staff to increase capacity for gender mainstreaming* |

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| [**Children’s Rights**](http://awsassets.panda.org/downloads/child_safeguarding___network_standard_final.pdf) | | |
|  | *Yes, no, n/a, TBD* | *Explain your answer in more detail, including any specific potential impacts or issues that you have identified. If you think there are no potential impacts or issues, please also explain why you think that.* |
| 1. Are there any existing children’s rights issues present in the project area? If yes, describe the issues and how they might affect the project. |  |  |
| 1. Could the project negatively impact the situation of children or adolescents in the affected communities? |  | *Think about e.g.*   * *livelihood support activities (commodity production etc.) that lead to children working for the household rather than attending school?* * *unintended economic hardship for families, affecting children, their development and schooling* * *potential increased interaction between communities and outsiders (workers, tourists etc.), and the impact this might have on children and adolescents* * *Potential contact that project staff might have with children in the course of project implementation, monitoring or evaluation* |
| 1. Could the project contribute to [child labour](https://www.ilo.org/ipec/facts/lang--en/index.htm)?   i.e. children doing work that is mentally, physically, socially or morally harmful or dangerous to them or interferes with their schooling |  | *Think about e.g.*   * *when promoting agricultural production of commodities where child labour is a common issue (cocoa, etc.)* |

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| **Resource Efficiency, Pollution Prevention and Management of Chemicals and Wastes** | | |
|  | *Yes, no, n/a, TBD* | *Explain your answer in more detail, including any specific potential impacts or issues that you have identified. If you think there are no potential impacts or issues, please also explain why you think that.* |
| 1. Are there any existing pollution or waste issues present in the project area? If yes, describe the issues and how they might affect the project. |  |  |
| 1. Will the project lead to increased waste production, in particular hazardous waste? |  |  |
| 1. Will the project require significant consumption of raw materials, energy, or water? |  |  |
| 1. Will the project lead to significant increases of greenhouse gas emissions or to a substantial reduction of carbon pools (e.g. through loss in vegetation cover or below and above ground carbon stocks)? |  |  |

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| **Conflict Sensitivity** | | |
|  | *Yes, no, n/a, TBD* | *Explain your answer in more detail, including any specific potential impacts or issues that you have identified. If you think there are no potential impacts or issues, please also explain why you think that.* |
| 1. Are there any major underlying tensions or open conflicts that might affect the project area?   ***If yes, answer a and b below*** |  | *Please describe the conflict(s).*  *Consider e.g. conflicts or tensions, latent or open (such as separatist movements/armed uprisings, civil war, inter-ethnic violence), caused by e.g. unequal distribution of resources, perceived economic equality, social injustice or exclusion, political oppression etc.* |
| Is it possible that the project activities might interact with or exacerbate existing tensions and conflicts? |  | *Think about e.g.*   * *Who is benefitting / who is not benefitting from the project? Does this make existing tensions between groups worse?* * *Does the project make tensions over access to resources or services worse?* * *Any risk that one key conflict actor takes control of the project?* |
| 1. How do stakeholders perceive the Project and its partners in relation to the existing conflicts or tensions? |  | *Please explain* |
| 1. Could the project create new conflicts among communities, groups or individuals? |  | *Consider e.g.*   * *if increasing resource competition when promoting economic opportunities* * *when strengthening the rights of selected groups* * *conflicts between business and communities* |
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| 3. Does the project provide opportunities to bring different groups with diverging interests positively together? |  | *Please explain* |

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| **Climate Change** | | |
|  | *Yes, no, n/a, TBD* | *Explain your answer in more detail, including any specific potential impacts or issues that you have identified. If you think there are no potential impacts or issues, please also explain why you think that.* |
| 1. Are there any existing climate change impacts present in the project area? If yes, describe the issues and how they might affect the project. |  |  |
| 1. Have the potential impacts of climate change on the communities and ecosystems and the related risks and vulnerabilities been analysed (e.g. climate risk analysis)? |  |  |
| 1. Are intended social or environmental outcomes of the project sensitive or vulnerable to potential impacts of climate change? |  |  |
| 1. Is there a risk that the project could increase the vulnerability or decrease the resilience of any local communities or ecosystems to climate change? |  | *Think about e.g.*   * *If encouraging communities to take up new livelihoods activities, e.g. agriculture - are these climate-proofed?* * *Could the activities reduce communities’ options to adapt or respond to future climate change?* |

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| **Concerns raised about the Project’s work in relation to Cross-Cutting Principles** | | |
|  | *Yes/no/*  *not sure* | *If the answer is “yes” or “not sure”, please specify:* |
| Is there anything else that needs to be mentioned in relation to any of the cross-cutting principles, for example, concerns raised by partners or stakeholders not addressed in the above sections (i.e. Human rights, Gender, Child rights, Conflict sensitivity, Climate change)? |  |  |

**PART V: Risks related to WWF Substantive Environmental and Social Standards**

*Please identify potential* ***negative impacts and issues related to the 6 substantive Environmental and Social Standards****. Note that screening is done for the inherent risks related to activities. This means,* ***risks that would exist if no mitigation measures were in place****.*

*Please fill in only the white cells.*

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| [**Standard on Indigenous Peoples**](https://sites.google.com/wwfus.org/safeguardstaskforce/indigenous-peoples) | | |
|  | *Yes, no, n/a, TBD* | *Explain your answer in more detail, including any specific risks that you have identified. If you think there are no risks, please also explain why you think that.* |
| 1. Are there indigenous people present in or near the project area? |  | *Please list any indigenous peoples by name, and if possible, provide population numbers, and whether or not they are the majority group in the landscape or if they are marginalized.* |
| 1. Could the project affect lands, territories or resources that are inhabited by or important to Indigenous Peoples, or otherwise affect the rights, wellbeing or livelihoods of Indigenous Peoples? |  | *Consider e.g. overlap between the planned project area and*   * *areas where IPs are present* * *areas and resources customarily owned or used by IPs (think about hunting grounds, gathering of medicinal herbs and other NTFPs)* * *areas and resources that may have cultural or spiritual value to IPs* |
| ***If you answered “yes” to the above question, please also answer the questions below.*** | | |
| 1. What might be the potential impacts of the project (and its activities) on the affected Indigenous Peoples? |  | *Please explain the relevant activities and the potential impacts. Consider e.g.:*   * *right to self-determination and self-governance* * *physical and cultural survival of the IPs* * *traditional knowledge, customs and practices* * *the development priorities of the IPs as defined by them* * *genetic resources and intellectual property* * *any other aspects of rights, wellbeing and livelihoods* |
| 1. Has a process been carried out to seek the FPIC or to otherwise consult the affected Indigenous Peoples about the project? |  | *If yes, please explain in more detail what kind of a process has been carried out, with and by whom, how, and with what outcomes.* |
| 1. Are any of the concerned peoples living in voluntary isolation? |  | *Please explain in more detail.* |
| 1. Have opportunities been identified for how the project could benefit Indigenous Peoples? |  | *Please describe the opportunities and benefits that have been identified, and explain if/how they are culturally appropriate and gender inclusive?*  *Please think beyond livelihoods benefits and explain also opportunities identified to increase awareness of and capacities to claim rights, improve regulatory frameworks, tenure security, opportunities for political participation, access to justice, or any other benefits.*  *Explain if the benefits have been discussed and mutually agreed with the concerned peoples.* |

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| [**Standard on Cultural Resources**](https://sites.google.com/wwfus.org/safeguardstaskforce/physical-and-cultural-resources) | | |
|  | *Yes, no, n/a, TBD* | *Explain your answer in more detail, including any specific risks that you have identified. If you think there are no risks, please also explain why you think that.* |
| 1. Are there cultural resources (physical, intangible, or natural) present in the project area? |  | *Examples of cultural resources include:*   * ***Physical / tangible*** *cultural resource (buildings and monuments, archaeological or sacred sites, works of art and artefacts)* * ***Intangible*** *cultural resource (traditional ecological knowledge, ways of life (pastoral, nomadic etc.), value systems, worldviews and beliefs, language, rites, rituals and folklore* * ***Natural*** *cultural resource (natural landscapes, natural sites or plant and animal species that have cultural or spiritual significance)* |
| Could the project negatively impact the cultural resources of the affected communities? |  | *Please explain the relevant activities and the potential impacts.* |
| 1. Does the project involve the utilization of cultural resources of affected communities for commercial or other purposes? |  | *Consider e.g.*   * *cultural tourism* * *use of genetic resources for medicinal purpose* |

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| [**Standard on Restriction of Access**](https://sites.google.com/wwfus.org/safeguardstaskforce/involuntary-resettlement) **and Voluntary Resettlement** | | |
|  | *Yes, no, n/a, TBD* | *Explain your answer in more detail, including any specific risks that you have identified. If you think there are no risks, please also explain why you think that.* |
| 1. Could the project directly or indirectly (e.g. through partners) lead to forced evictions (involuntary resettlement)? |  | *Please describe the activities that potentially lead to forced eviction and who would be evicted* |
| 1. Could the project directly or indirectly lead to physically resettling peoples or communities (voluntary resettlement)? Consider also temporary resettlement. |  | *Please describe the activities that potentially lead to voluntary resettlement, who would be physically resettled and whether and how this has been agreed with them.* |
| 1. Could the project result in restrictions of peoples’ access to or use of land, natural resources or ecosystem services (including social and cultural services)? Consider also temporary and partial access restrictions. |  | *Please describe:*   * *the restrictions resulting from the project* * *who would likely be affected and how* * *whether and how the restrictions have been agreed with those affected*   *Consider access restriction related to e.g.*   * *changes in tenure arrangements, community-based property rights or customary rights to land, territories and/or resources* * *land acquisition (e.g. for infrastructure development)* * *improved law enforcement* * *changes in land use plans* * *bans of hunting/fishing tools/methods or equipment for harvesting* * *temporary closures of areas* * *etc.* |

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| [**Standard on Community Health, Safety and Security**](https://sites.google.com/wwfus.org/safeguardstaskforce/community-health-and-security) | | |
|  | *Yes, no, n/a TBD* | *Explain your answer in more detail, including any specific risks that you have identified. If you think there are no risks, please also explain why you think that.* |
| 1. Could the activities contribute to increased risk of violence or abuse (physical, sexual, emotional, etc.) towards communities/individuals? |  | *Please explain the relevant activities and the potential impacts. Consider e.g.*   * *actions of security or enforcement personnel towards communities* * *presence of armed and rebel groups* * *actions by criminal organisations and networks* * *targeting of environmental human rights defenders* * *targeting of informants related to wildlife crime* * *targeting of community rangers or anti-poaching patrols* * *conflict and post-conflict situations* * *existing accountability structures, capacities, training etc.* |
| 1. Could the activities increase communities’ exposure to infectious diseases transmitted by e.g. air, respiratory droplets, unsafe sex, contaminated water or food, or by insects or other animals? |  | *Please explain the relevant activities and the potential impacts. Consider e.g.*   * *changes in access to safe and sufficient water* * *creation of stagnant water bodies or other reservoirs for disease vectors* * *increased contact between communities and outsiders* * *contagion of COVID-19 or other viruses and pandemics* |
| 1. Could the activities increase communities’ exposure to risk factors linked to other health conditions? |  | *Please explain the relevant activities and the potential impacts. Consider e.g.*   * *reduced food security due to crop loss or reduced bush meat* * *dietary changes* * *indoor or outdoor air pollution* * *soil pollution* * *water pollution* * *substance abuse* * *stress or trauma* |
| 1. Could the activities increase the exposure of communities/individuals to accidents or injuries? |  | *Please explain the relevant activities and the potential impacts. Consider e.g.*   * *human-wildlife conflict* * *traffic and transportation* * *safety of infrastructure* * *operation of dangerous equipment* |
| 1. Could the activities increase the exposure or vulnerability of communities to natural hazards? |  | *Please explain the relevant activities and the potential impacts. Consider e.g.*   * *droughts* * *extreme temperatures* * *floods* * *wildfires* * *storms, cyclones, hurricanes* * *landslides and avalanches* * *earthquakes and tsunamis* * *insect or animal plagues* |

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| [**Standard on Protection of Natural Habitat**](https://sites.google.com/wwfus.org/safeguardstaskforce/protection-of-natural-habitats) | | | |
|  | *Yes, no, n/a, TBD* | *Explain your answer in more detail, including any specific risks that you have identified. If you think there are no risks, please also explain why you think that.* |
| 1. Does the project support economic or livelihoods activities that might lead to unsustainable use or extraction of natural resources? |  | *Please explain* |
| 1. Might the project cause other types of adverse impacts on biodiversity, the integrity of terrestrial or aquatic ecosystems, or on ecosystem services? |  | *Please explain the relevant activities and the potential impacts. Consider e.g. through*   * *small-scale infrastructure works* * *ecotourism* * *waste production or inadequate waste disposal* * *plantation development* * *removal of vegetation cover, creation of soil erosion* * *creating pathways for the spreading of invasive species* * *extraction, diversion or containment of surface or groundwater* |
| 1. Will the project require significant consumption of raw materials, energy or water? |  | *Consider e.g. construction of buildings and other infrastructure. Please describe any related impact assessments and/or mitigation actions linked to these activities* |

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| [**Standard on Pest Management**](https://sites.google.com/wwfus.org/safeguardstaskforce/pest-management) | | |
|  | *Yes, no, n/a, TBD* | *Explain your answer in more detail, including any specific risks that you have identified. If you think there are no risks, please also explain why you think that.* |
| Does the project promote the use or procurement of pesticides? |  | *Consider especially those that contain active ingredients that* *are banned or restricted under applicable international treaties and agreements**meet the criteria of carcinogenicity, mutagenicity, or reproductive toxicity as set forth by international agencies* |

**Project Teams filling out this screening can STOP HERE. The follow section is to be filled in by the Safeguards Specialist from the WWF GEF/GCF Agency.**

**PART VI: Conclusion of the project screening**

**To be filled in by WWF GEF/GCF safeguards specialist**

|  |  |  |  |
| --- | --- | --- | --- |
| ***Were any major issues identified in relation to each of the ESSF Cross-Cutting Principles?*** | | | |
|  | | *Yes, no, n/a, TBD* | *Please list the major risks and issues you identified* |
| * *Human Rights* | |  |  |
| * *Gender Equality and Women’s Rights* | |  |  |
| * *Children’s Rights* | |  |  |
| * *Conflict-Sensitivity* | |  |  |
| * *Climate Change* | |  |  |
| * *Resource Efficiency, Pollution Prevention and Management of Chemicals and Wastes* | |  |  |
| ***Which of the Substantive Standards are triggered by the project?*** | | | |
|  | | *Yes, no, n/a, TBD* | *Please list the major risks and issues you identified* |
| * *Indigenous Peoples* | |  |  |
| * *Cultural Resources* | |  |  |
| * *Restriction of Access and Voluntary Resettlement* | |  |  |
| * *Community Health, Safety and Security* | |  |  |
| * *Protection of Natural Habitat* | |  |  |
| * *Pest Management* | |  |  |
| ***Which of the safeguards risk categories do you think this project falls in (high, special consideration, medium or low)?*** | | | |
|  | **High Risk:** The projects is likely to have significant and irreversible adverse social or environmental impacts at a large scale, that need to be mitigated. 🡪 Note: [Escalation to CQC required](https://sites.google.com/wwfint.org/netconservationqualitycommitte/what-goes-to-cqc) | | |
|  | **Special Consideration:** The project lands in countries that appear on the [FCV List](http://pubdocs.worldbank.org/en/888211594267968803/FCSList-FY21.pdf) from the World Bank (columns ‘High Intensity Conflict’ and ‘Medium Intensity Conflict’ and potentially ‘Fragility’). 🡪 Note: [Escalation to CQC required](https://sites.google.com/wwfint.org/netconservationqualitycommitte/what-goes-to-cqc) | | |
|  | **Medium Risk:** The project has potential adverse social and/or environmental impacts, that need to be mitigated. | | |
|  | **Low Risk:** The project is likely to have minimal to no social and environmental impacts. | | |